



1FW 2623

PATENT
Attorney Docket No.: SONY-17900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2623
Robert A. Unger) Examiner: Lonsberry, Hunter B.
Serial No.: 09/835,991) **TRANSMITTAL LETTER**
Filed: April 16, 2001) 162 N. Wolfe Road
For: **LOW-POWER BROADCAST**) Sunnyvale, CA 94086
RECEIVER) (408) 530-9700
Customer No.: 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 24, 2006

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 11/24/06 By: [Signature]



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Robert A. Unger)	Examiner: Lonsberry, Hunter B.
Serial No.: 09/835,991)	<u>CERTIFICATION FOR</u>
Filed: April 16, 2001)	<u>SUPPLEMENTAL INFORMATION</u>
For: LOW-POWER BROADCAST)	<u>DISCLOSURE STATEMENT UNDER 37</u>
RECEIVER)	<u>CFR § 1.97(e)</u>
)	162 N. Wolfe Road
)	Sunnyvale, CA 94086
)	(408) 530-9700
)	Customer No. 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached nine (9) references contained in this supplemental information disclosure statement were cited within an International Search Report (ISR) mailed on October 17, 2006. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 24, 2006

By: Jonathan O. Owens
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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.


Applicant has become aware of the following printed publications which may be material to the examination of this application:

- Japanese Patent Application No. 11-196342;
- Japanese Abstract No. 09-275530;
- Japanese Patent Application No. 9-275530;
- Japanese Abstract No. 10-285482;
- Japanese Patent Application No. 10-285482;
- Japanese Abstract No. 05-344022;
- Japanese Patent Application No. 5-344022;
- Japanese Abstract No. 10-228338; and
- Japanese Patent Application No. 10-228338.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 24, 2006


By: 
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 11/24/06 By: 

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SONY-17900

Serial No.: 09/835,991

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Separate Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Robert A. Unger

Filing Date: April 16, 2001

Group Art Unit: 2623

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	11-196342	7/11/99	JP	H04N	5/44		X
	AL	9-275530	10/21/97	JP	H04N	5/44	X	
	AM	9-275530	10/21/97	JP	H04N	5/44		X
	AN	10-285482	10/23/98	JP	H04N	5/44	X	
	AO	10-285482	10/23/98	JP	H04N	5/44		X
	AP	05-344022	12/24/93	JP	H04B	1/38	X	
	AQ	5-344022	12/24/93	JP	H04B	1/38		X
	AR	10-228338	8/25/98	JP	G06F	1/32	X	
	AS	10-228338	8/25/98	JP	G06F	1/32		X
	AT							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	
	BA	
	BB	
	BC	
	BD	
	BE	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.